

Sample Questions Governing Boards Can Ask Compliance Officers Privately or in Executive Session

- What is your greatest concern?
- What are the top three things you are working on now?
- What are the top three risks that you are monitoring?
- Do you have the resources (e.g., money, staff, tools) to fulfill your responsibilities?
- Do you have access to the people, information, and data that you need to fulfill your responsibilities?
- Do you have sufficient authority to complete your job?
- Do people listen to you when you speak? Do they take your concerns seriously?
- Are you or your staff regularly included in meetings to discuss new strategies, projects, directions, or initiatives so that you can provide input from the beginning on possible risks and ways to achieve the goal compliantly?

